

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. **21223US-2RD**

First Inventor or Application Identifier **TOMOMI FUJISAWA**

Title **A MAGNETIC RECORDING HEAD, A MAGNETIC REPRODUCING HEAD,...**

Assignee Name:

Assignee Address:

06/27/01

TO

U.S.

PTO

06/27/01

**APPLICATION ELEMENTS**

*See MPEP chapter 600 concerning utility patent application contents*

1.  **Fee Transmittal Form (e.g. PTO/SB/17)**  
(Submit an original and a duplicate for fee processing)

2.  **Specification** Total Sheets **32**

3.  **Formal Drawing(s)**  
(35 U.S.C. 113) Total Sheets **10**

4.  **Oath or Declaration** Total Pages

- a.  Newly executed (original or copy)
- b.  Copy from a prior application (37 C.F.R. §1.63(d))  
(for continuation / divisional w/ box 17 completed)
  - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in  
the prior application, see 37 C.F.R. §1.63(d)(2) and  
1.33(b).

5.  **CD-ROM or CD-R in duplicate, large table or Computer  
Program (Appendix)**

6.  **Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)**

a.  Computer Readable Form (CRF)

b. Specification or Sequence Listing on:

- i.  CD-ROM or CD-R (2 copies); or
- ii.  Paper

c.  Statements verifying identity of above copies

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

**ACCOMPANYING APPLICATION PARTS**

7.  Assignment Papers (cover sheet & document(s))
8.  **Application Data Sheet. See 37 CFR 1.76**
9.  37 C.F.R. §3.73(b) Statement  
(when there is an assignee)  Power of Attorney
10.  **IDS/Related/List of Related Cases / Pending  
Related Cases (1)**
11.  **Information Disclosure Statement/PTO Form 1449**
12.  **References (1)**
13.  **White Advance Serial No. Postcard**
14.  **Certified Copy of Priority Document(s) (1)**  
(if foreign priority is claimed)
15.  Applicant claims small entity status.  
See 37 CFR 1.27
16.  **Other: REQUEST FOR PRIORITY  
LIST OF INVENTORS**

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

Continuation  Divisional  Continuation-in-part (CIP) of prior application no.:

Prior application information: Examiner:

Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. Amend the specification by inserting before the first line the sentence:

- This application is a  Continuation  Division  Continuation-in-part (CIP)  
of application Serial No. Filed on
- Which was published in English
- Which was not published in English
- This application claims priority of provisional application Serial No. Filed

**19. CORRESPONDENCE ADDRESS**



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Name:	Gregory J. Maier	Registration No.:	25,599
Signature:		Date:	August 27, 2001
Name:	Eckhard H. Kuesters	Registration No.:	28,870

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) TOMOMI FUNAYAMA ET AL

SERIAL NO: New Application

FILING DATE: Herewith

FOR: A MAGNETIC RECORDING HEAD, A MAGNETIC REPRODUCING HEAD, A MAGNETIC RECORDING APPARATUS, AND A MAGNETIC REPRODUCING APPARATUS

## FEE TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
<b>TOTAL CLAIMS</b>	<b>19 - 20 =</b>	<b>0</b>	<b>× \$18 =</b>	<b>\$0.00</b>
<b>INDEPENDENT CLAIMS</b>	<b>4 - 3 =</b>	<b>1</b>	<b>× \$80 =</b>	<b>\$80.00</b>
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)			<b>+ \$270 =</b>	<b>\$0.00</b>
<input checked="" type="checkbox"/> LATE FILING OF DECLARATION			<b>+ \$130 =</b>	<b>\$130.00</b>
<b>BASIC FEE</b>				<b>\$710.00</b>
<b>TOTAL OF ABOVE CALCULATIONS</b>				<b>\$920.00</b>
<input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY				<b>\$0.00</b>
<input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE			<b>+ \$130 =</b>	<b>\$0.00</b>
<input type="checkbox"/> RECORDATION OF ASSIGNMENT			<b>+ \$40 =</b>	<b>\$0.00</b>
<b>TOTAL</b>				<b>\$920.00</b>

Please charge Deposit Account No. 15-0030 in the amount of

A duplicate copy of this sheet is enclosed.

A check in the amount of \$920.00 to cover the filing fee is enclosed.The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLOON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.Gregory J. Maier  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: TOMOMI FUNAYAMA ET AL

FILING DATE: Herewith

FOR: A MAGNETIC RECORDING HEAD, A MAGNETIC REPRODUCING HEAD, A MAGNETIC RECORDING APPARATUS, AND A MAGNETIC REPRODUCING APPARATUS

**LIST OF INVENTORS' NAMES AND ADDRESSES**

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Listed below are the names and addresses of the inventors for the above-identified patent application.

TOMOMI FUNAYAMA	KANAGAWA-KEN, JAPAN
KOHICHI TATEYAMA	KANAGAWA-KEN, JAPAN
MICHIKO HARA	KANAGAWA-KEN, JAPAN
MASATOSHI YOSHIKAWA	KANAGAWA-KEN, JAPAN
HIROAKI YODA	KANAGAWA-KEN, JAPAN

A declaration containing all the necessary information will be submitted at a later date.

Respectfully Submitted,

OBŁON, SPIVAK, McCLELLAND,  
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Gregory J. Maier  
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